

EXPRESS MAIL NO. EV 384065580 US

PATENT APPLICATION

Docket No: 15689.45.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
		)
	Tomoyuki Ohtani et al.	)
		)
Serial No.:	Not Yet Assigned	)
		)
Filed:	March 26, 2004	)
		)
For:	MOBILE COMMUNICATION SYSTEM,	)
	MOBILE STATION AND DIVERSITY	)
	HANDOVER BRANCH CONTROL METHOD	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Disclosed  
Under 37 C.F.R. § 1.98(d)

The following listed references are not enclosed because, under 37 C.F.R. § 1.98(d), they were previously cited by or submitted to the Office in application number 09/230,956, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

- 1) US Patent 5,267,261
- 2) US Patent 5,625,876
- 3) US Patent 5,200,957

DATED March 26, 2004.

Respectfully submitted,



ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913



# **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

15689.45.1.1

SERIAL NO.

Not Yet Assigned

Tomoyuki Ohtani, et al.

FILING

March 26, 2004

GROUP

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## **FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	12	WO 97 08911	3/6/1997	PCT				
	13	2,141,445	12/22/1994	CANADA				

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		14	"THE CLASSIFICATION OF HANDOVER IN CDMA SYSTEMS" presented by Takaaki Sato et al. at 1997 General Meeting of the Institute of Electronics, Information and Communication Engineers.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.